

# What keyring? What certificates? All I know is TLS works only in Server Authentication! Wai Choi

**IBM** 

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# Agenda

 Review of what we learnt about how to set up server and client keyrings for TLS for Server authentication – What keyring? What certificates? All I know is TLS doesn't work

(https://conferences.gse.org.uk/2020/agenda/presentation/1912)

- Overview on client authentication process which includes
  - Handshake
  - ID mapping
- Steps to tackle a certificate related handshake and ID mapping problem in TLS in Client authentication





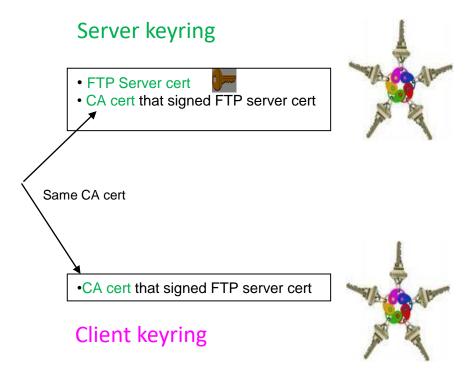
# Quick review on Server Authentication





# Server and client set up keyrings for Server authentication

- Certificate must be placed in a key ring before it can be used by an application to perform identification and validation
- The server admin
  - sets up a key ring with the whole chain (this example shows a chain of 2):
    - the server certificate
    - the issuer CA certificate (it is also the root in this case)
  - sends the root CA certificate to the client admin (not the server certificate !!!)
- The client admin
  - sets up a key ring with the server's root CA certificate:
    - The server's root CA certificate (this is also the issuer certificate in this case)
- Notice that in the case of a chain of N, the server keyring should contain N certificates, but the client keyring only needs the root CA certificate no matter how long the chain is.







## Steps to tackle from server side

Find out which party is the server, which party is the client

#### Server side:

- 1. What is the **configuration file** which includes the keyring information?
- 2. What is the **keyring name**? Who is the **keyring owner**?
- 3. Does the keyring contain all the needed **certificates**?
- 4. Which one is the server certificate? Who owns it?
  - Usage is **Personal**
  - Marked as **DEFAULT** (the most popular set up used by TLS)
- 5. Does the server certificate have a **private key** associated with it and is its status **TRUST**?
- 6. What ID will be using the keyring? Does it have access to the private key?
  - Access to keyring means access to certificates in the keyring, but not the access to their private keys
  - Simpler set up if the accessing ID is the owner of the certificate, and owner of the keyring
  - If the access control is through RDATALIB, make sure it is active and raclisted





# Steps to tackle from client side

#### Client side:

- 1. What is the configuration file which includes the keyring information?
- 2. What is the **keyring name**? Who is the **keyring owner**?
- 3. Are the certificates CERTAUTH certificates?
- 4. Which one is the **root CA** certificate of the server? Is its status **TRUST**?
- 5. What ID will be using the keyring? Does it have access to the keyring?
  - Access to keyring means access to certificates in the keyring
  - If the access control is through RDATALIB, make sure it is active and raclisted





# Story continues...Client Authentication





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## What is Client authentication

- Client authentication is an inseparable step following server authentication. You can't have client authentication by itself
- It is the server side to determine if client authentication is needed
- Base on the server authentication set up, here are the extra steps
- The client admin
  - connect the following certificates to the client's key ring with the whole chain (suppose this is a chain of 2):
    - the client certificate
    - the issuer CA certificate (it is also the root in this case)
  - send the client certificate and the client's issuer CA certificate(s) in a PKCS7 package to the server admin
    - The package helps the server admin to determine the right CA to use in the server keyring

#### The server admin

- connect the following certificate to the server's key ring:
  - the client's issuer CA certificate (it is also the root in this case)
- add the client certificate (no private key) to RACF (no need to put it in keyring) under the ID to be mapped to the client

#### Client RACF DB

#### Client keyring

- •CA cert that signed FTP server cert \*
- •FTP Client cert \*\*
- •CA cert that signed FTP client cert \*



#### Server RACF DB

#### Server keyring

- •FTP Server cert \*\*
- •CA cert that signed FTP server cert \*
- CA cert that signed FTP client cert \*



- \*\* Cert and private key
- \* Cert only





## What happens in Client authentication

- Handshake process part 2
  - Server authentication ends after the client validated the server certificate with the server's root CA in the client keyring
  - Then the client sends the client certificate to the server for it to validate with the client's root CA in the server keyring
- Mapping process continues after the Handshake process
  - RACF uses the client's certificate to map to an ID that owns the certificate in the DB





# How to tackle Client authentication problems from a certificate perspective









## Steps to tackle from client side

#### Client side:

- 1. Make sure Client keyring already set up correctly for server authentication (see last year's presentation)
- 2. Does the keyring contain all the needed certificates for client authentication?
- 3. Which one is the client certificate? Who owns it?
  - Usage is Personal
  - Marked as DEFAULT (the most popular set up used by TLS)
- 4. Does the client certificate have a private key associated with it?
- 5. Do the client certificate and all its issuers have TRUST status?
- 6. Does the ID used to access the keyring have access to the client's private key?
  - Access to keyring means access to certificates in the keyring, but not the access to their private keys (with client authentication, private key is involved)
  - The access level to the keyring done for server authentication step may need to be changed from READ to UPDATE, if the accessing ID is not the client cert owner
    - If the access control is through RDATALIB, make sure it is refresh





## Example on tracing problem from client side

### Client side:

```
TTLSKeyRingParms

{

Keyring

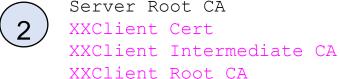
XXXClient/XXXClientRing

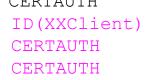
}
```

#### RACDCERT ID(XXClient) LISTRING(XXClientRing)

Digital ring information for user **XXClient**: 2

#### Ring:













#### RACDCERT ID(XXClient) LISTCHAIN(LABEL('XXClient Cert'))

Certificate 1: Digital certificate information for user XXClient: Certificate Fingerprint (SHA256): Label: XXClient Cert Ring Associations: Certificate ID: 2QbmxcLi2eXi4tNAw4WZo0BA Ring Owner: XXClient Status: TRUST Ring: Start Date: 2021/10/01 01:00:00 >XXSClientRing< End Date: 2022/09/30 00:59:59 Certificate 3: Private Key: YES Digital certificate information for CERTAUTH: Certificate Fingerprint (SHA256): Label: XXClient Root CA Ring Associations: Certificate ID: 2QkkxcLi2eZj4tMAw4WZo0BE Ring Owner: XXClient Status: TRUST Ring: Start Date: 2015/01/01 01:00:00 >XXClientRing< End Date: 2035/12/31 00:59:59 Certificate 2: Private Key: NO Certificate Fingerprint (SHA256): Digital certificate information for CERTAUTH: Label: XXClient Intermediate CA Ring Associations: Certificate ID: 2pinxcLi2eYj4tMAw4WZo0BD Ring Owner: XXClient Status: TRUST Ring: Start Date: 2015/02/17 01:00:00 >XXClientRing< End Date: 2025/12/31 00:59:59 Chain information: Private Key: NO Chain contains 3 certificate(s), chain is complete Chain contains ring in common: XXClient/XXClientRing









#### RLIST RDATALIB XXClient.XXClientRing.LST

CLASS NAME

RDATALIB XXCLIENT.XXCLIENTRING.LST

UNIVERSAL ACCESS YOUR ACCESS LEVEL OWNER WARNING

USER ACCESS

XXCLIENT READ

UPDATE ← if YYCLIENT accesses XXCLIENT's certificate, YYCLIENT UPDATE is needed

Make sure the RDATALIB class is refresh!!!

SETR RACLIST(RDATALIB) REFRESH





# Steps to tackle from server side

#### Server side:

- 1. Server keyring already set up for server authentication
- Make sure client certificate and its issuers certificates are correctly received
  - Ideally, the client would send a PKCS7 package which contains the cert chain, from client cert to root
  - Make use of RACDCERT CHECKCERT on the package to ensure the right certs were received
  - If the client certificate and the issuers' CA certificates were sent individually, the server admin needs additional information from the client admin, like the fingerprint, the serial number, SDN, IDN... etc to make sure the right certs were sent
- 3. Which one is the root CA certificate of the client? Is its status TRUST?
- 4. Is the client certificate installed in RACF under a regular RACF user ID? Is its status TRUST? – assuming the mapping mechanism is based on the cert installed





### Example on tracing problem from server side

```
Certificate 1:
  Start Date: 2021/10/01 01:00:00
  End Date: 2022/09/30 00:59:59
  Issuer's Name:
  <Intermediate SDN> -
  Subject's Name:
  <Client SDN>
 Certificate Fingerprint (SHA256):
Certificate 2:
  Start Date: 2015/02/17 01:00:00
  End Date: 2025/12/31 00:59:59
  Issuer's Name:
  <Root SDN> -
  Subject's Name:
  <Intermediate SDN> ←
 Certificate Fingerprint (SHA256):
```

RACDCERT CHECKCERT(<dataset contains the PKCS7 package>)

```
If the cert in the package already added in RACF DB, you will see the owner, the label, the certificate ID and the status, eg Certificate 3 (root) entry would show this
```

```
Certificate 3:
 Start Date: 2015/01/01 01:00:00
 End Date: 2035/12/31 00:59:59
 Issuer's Name:
  <Root SDN>
  Subject's Name:
 <Root SDN>
 Certificate Fingerprint (SHA256):
Chain information:
   Chain contains 3 certificate(s), chain is complete
Certificate 3:
Digital certificate information for CERTAUTH:
  Label: Root CA from XXClient
 Certificate ID: 1PkkxcLi2eZj4tMAw4WZo0BE
  Status: TRUST
 Start Date: 2015/01/01 01:00:00
 End Date: 2035/12/31 00:59:59
```









#### RACDCERT CERTAUTH LIST(LABEL('Client Root CA'))

Digital certificate information for **CERTAUTH:** 

```
Label: Client Root CA
  Certificate ID: 2QkkxqLi2eZj4tMAw4WZo0BE
  Status: TRUST
  Start Date: 2015/01/01 01:00:00
  End Date:
              2035/12/31 00:59:59
  Private Key: NO
  Certificate Fingerprint (SHA256):
  Ring Associations:
    Ring Owner: XXServer
  Rina:
     >XXServerRing<
Chain information:
   Chain contains 1 certificate(s), chain
is complete
   Chain contains ring in common:
XXServer/XXServerRing
```

Note: The label 'Client Root CA' doesn't need to be the same as used in the Client system

#### RACDCERT ID(Bob) LIST(LABEL("Bob's cert"))

4

Digital certificate information for user **Bob:** 

```
Label: Bob's cert

Certificate ID: 20mmxcLi2eZj4tMAw4WZo0BE

Status: TRUST

Start Date: 2021/10/01 01:00:00

End Date: 2022/09/30 00:59:59

...

Private Key: NO
...

Certificate Fingerprint (SHA256):
...
```

#### Note:

- The label "Bob's cert" and the cert owner ID, Bob, do not need to be the same as used in the Client system. It is XXClient in the client's system
- 2) No private key is needed
- 3) It is not connected to a keyring





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# **ID** Mapping process





# ID Mapping process after Handshake

- Handshake process part 2
  - Server authentication ends after the client validated the server certificate with the server's root CA in the client keyring
  - Then the client sends the client certificate to the server for it to validate with the client's root CA in the server keyring
  - Mapping process continues after the Handshake process
- ID Mapping process continues after the Handshake process
  - In fact there are three mapping ways, and what we discussed is just the first way
    - 1. RACF finds an ID that **owns** the same certificate in RACF's DB as the client's certificate
    - 2. RACF finds an ID that owns a certificate mapping profile in RACF's DB, which matches the subject and/or issuer distinguished name in the client's certificate. This is known as Certificate Name Filtering
    - 3. RACF extracts the ID from the client's certificate's HostIDMapping extension
  - The order of operation is as listed above, ie, only if no matching cert is found, the certificate mapping will be used; HostIdMapping will be used only if no matching certificate nor matching certificate mapping profile is found





## Steps to tackle from server side if Certificate Mapping is used

- Server side:
  - 1. Make sure the server side has received the following information on the client's certificate:
    - Subject Distinguished Name (SDN) or
    - Issuer Distinguished Name (IDN) or
    - both
  - 2. Is there a certificate mapping filter in RACF?
  - 3. Is the filter TRUST?
  - 4. Is the DIGTNMAP class raclisted and refreshed?







# Example to track issues if Certificate Mapping is used

#### • Server side:

- 1. Find out, from the client, the Subject Distinguished Name (SDN) or Issuer Distinguished Name (IDN) or both on the client's certificate, e.g.
  - SDN: CN=Bob.OU=DeptC.C=UK, IDN: OU=TestGroup.C=US
- 2. Find if there is certificate mapping profile (aka certificate name filter) containing the matching information above
  - DBUNLOAD record type 508 contains information on certificate name filter
  - Use DFSORT ICETOOL to create a report. You may find entries like these

User ID	Label	Filter
USER1	USRER1MAP	CN=User1 OU=DeptA C=US¢
GROUP1	GROUP1MAP	¢CN=OU=DeptB C=US
BOB	BOBMAP	CN=OU=TestGroup.C=US¢CN=Bob.OU=DeptC.C=UK

3. Issue RACDCERT ID(BOB) LISTMAP(LABEL('BOBMAP')) to check if its status is TRUST

Mapping information for BOB:

Label: BOBMAP Status: TRUST

Issuer's Name Filter:

> OU=TestGroup.C=US <

Subject's Name Filter:

> CN=Bob.OU=DeptC.C=UK <

\*\* Make sure the DIGTNMAP class is refresh!!!

SETR RACLIST(DIGTNMAP) REFRESH







#### • Server side:

- 1. Make sure the server side has received the following information:
  - the correct user ID and host name as shown in the client's cert's HostIdMapping extension
  - the issuer certificate

Note: In the case of a certificate chain of 3, the issuer certificate of the client is the intermediate CA, not the root CA

- 2. Is the profile in SERVAUTH class set up correctly?
- 3. Does the ID have READ access to the SERVAUTH profile?
- 4. Is the issuer certificate installed in RACF and it has HIGHTRUST status?





# Example to track issues if HostIDMapping is used

#### • Server side:

Need to find out, from the client, the user ID and host name in the HostIdMapping extension, e.g.

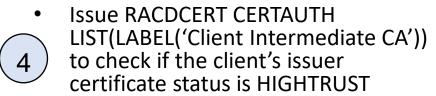
Bob@Host1

Find if Bob has READ access to profile IRR.HOST.<host name>
in SERVAUTH class

#### RLIST SERVAUTH IRR.HOST.HOST1

CLASS	NAME
SERVAUTH	IRR.HOST.HOST1

LEVEL	OWNER	UNIVERSAL	ACCESS	YOUR ACCESS	WARNING
USER	ACCESS				
BOB	READ	14	(3)		



Digital certificate information for CERTAUTH:

Label: Client Intermediate CA

Certificate ID: 2QinxcLi2eYj4tMAw4WZo0BD

Status: **HIGHTRUST** 

Start Date: 2015/02/17 01:00:00 End Date: 2025/12/31 00:59:59

•••

Private Key: NO

Note: This CA cert does not need to connect to any keyring







# Pros and Cons on the 3 ways of ID mapping in Client Authentication

Mapping using	Pros	Cons	
Client certificate	Simpler to set up	<ul> <li>Need to install client cert in the server system</li> <li>Administrative cost could be high to install a large number of client certificates in the server side</li> </ul>	
Certificate Name Filter	<ul> <li>Can map multiple certs to a single ID</li> <li>A preferred option when a large number of users need to map to a group ID</li> </ul>	<ul> <li>Need to communicate SDN/IDN information to server system</li> <li>Server needs to set up the mapping profiles</li> </ul>	
HostIdMapping	Can map one cert to different IDs in different systems	<ul> <li>Need to communicate         HostIdMapping extension info to         the server side</li> <li>Server needs to install issuer CA         and SERVAUTH profiles</li> </ul>	



# Useful information related to Certificate Name Filtering and HostldMapping





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#### Sample job using ICETOOL to find out the filters from DBUNLOAD flat file output

```
//MYJOBICE JOB CLASS=5, MSGCLASS=H, NOTIFY=&SYSUID, MSGLEVEL=0
            EXEC PGM=ICETOOL, PARM='MSGPRT=ALL'
//RACFICE
                                                                  //CERTCNTL DD *
//TOOLMSG
          DD SYSOUT=*
                                                                   SORT
                                                                           FIELDS=COPY
//PRINT
           DD SYSOUT=*
                                                                  INCLUDE COND=(5, 4, CH, EQ, C'0508')
//DFSMSG
          DD SYSOUT=*
                                                                  OPTION VLSHRT
//DBUDATA DD DISP=SHR, DSN=MYTEST.FORMAP.IRRDBU00.FLATFILE
                                                                  //CMDSCNTL DD *
//TEMP0001 DD DISP=(NEW, DELETE, DELETE), SPACE=(CYL, (1,5,0)),
                                                                   SORT
                                                                           FIELDS=COPY
            UNIT=SYSALLDA
                                                                  INCLUDE COND=(5, 4, CH, EQ, C'0508')
//TOOLIN
            DD *
                                                                  OUTREC FIELDS=(1,4,C'RACMAP LIST ID(',308,8,C') is ',
SORT
         FROM (DBUDATA) TO (TEMP0001) USING (CERT)
                                                                           30,8,C' and is assigned to ',79,20)
DISPLAY FROM (TEMP0001) LIST (PRINT) -
                                                                   OPTION VLSHRT
         PAGE -
         TITLE ('Certificate Mappings') -
         DATE (4MD/) -
         TIME (12:) -
         BLANK -
         ON(308,08,CH) HEADER('User ID') -
         ON(266,32,CH) HEADER('Label') -
         ON(555,255,CH) HEADER('Filter')
         FROM (DBUDATA) TO (TEMP0001) USING (CMDS)
 SORT
```

User ID

Label

Filter

¢ is a separator between IDN and SDN filters

BOB

**BOBMAP** 

OU=TestGroup.C=US¢CN=Bob.OU=DeptC.C=UK

**GROUPA** 

GRPAMAP

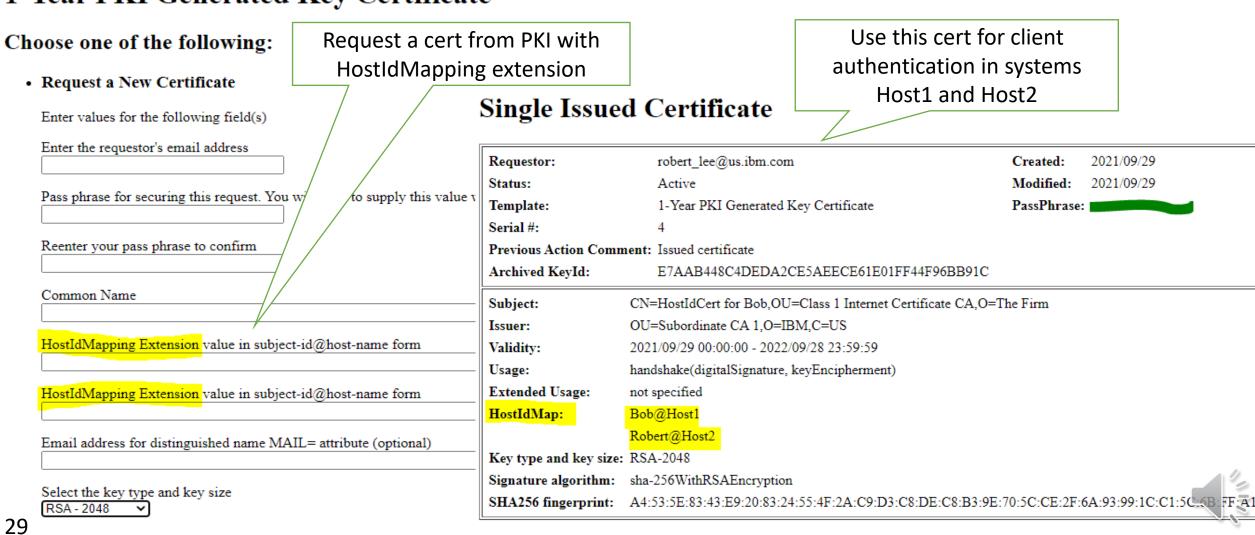
OU=Test DeptA.C=DE¢





# Using PKI Services to request cert with HostIdMapping extension

#### 1-Year PKI Generated Key Certificate





# How much do you remember?





- 1. Client authentication can be set up without server authentication. True or False? False
- 2. Which certificate is needed in the server's keyring specific for client authentication handshake process? Client cert or Client root CA cert Client root CA cert
- 3. Does the server side need to add the client certificate to the RACE DB? Yes or No. It depends. Yes, if matching is based on an existing client certificate
- 4. What are the two other ways to find an ID corresponds to the client certificate which is being used during handshake? **Certificate Name Filtering**

HostIdMapping

- 5. Can you create a certificate with HostIdMapping extension with RACDCERT? No. You need to use z/OS PKI Services
- 6. All the steps are checked OK, but I still have the problem. What may be a possible cause? The application has not been refreshed to pick up the keyring changes





## References

Cryptographic Server Manual

**Cryptographic Services PKI Services Guide and Reference** 

https://www-

01.ibm.com/servers/resourcelink/svc00100.nsf/pages/zOSV2R4sa232286/\$file/ikya100\_v2r4.pdf

**Cryptographic Services System Secure Sockets Layer Programming** 

https://www-

01.ibm.com/servers/resourcelink/svc00100.nsf/pages/zOSV2R4sc147495/\$file/gska100 v2r4.pdf

Security Server Manuals:

**RACF Command Language Reference** 

https://www-01.ibm.com/servers/resourcelink/svc00100.nsf/pages/zOSV2R4sa232292/\$file/icha400\_v2r4.pdf

**RACF Security Administrator's Guide** 

https://www-

01.ibm.com/servers/resourcelink/svc00100.nsf/pages/zOSV2R4sa232289/\$file/icha700\_v2r4.pdf

RFCs

RFC5280 - Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile

https://tools.ietf.org/html/rfc5280





## References

IBM Enterprise Knights videos on digital certificates:
<a href="https://ek-ibmz.mybluemix.net/video/c57660745a547e504d54793083a97b0d">https://ek-ibmz.mybluemix.net/video/c57660745a547e504d54793083a97b0d</a>
<a href="https://ek-ibmz.mybluemix.net/video/d399cee97db684bbf4f0f4e2b42cff15">https://ek-ibmz.mybluemix.net/video/d399cee97db684bbf4f0f4e2b42cff15</a>

IBM Hot Topics

Issue #29: Drowning in digital certificates? Here's a lifeline! <a href="http://publibfp.dhe.ibm.com/epubs/pdf/e0z3n110.pdf">http://publibfp.dhe.ibm.com/epubs/pdf/e0z3n110.pdf</a>

<u>Issue #21: RACDCERT tipbits. x509 digital certificate technology</u> <a href="http://publibz.boulder.ibm.com/epubs/pdf/e0z2n1a0.pdf">http://publibz.boulder.ibm.com/epubs/pdf/e0z2n1a0.pdf</a>

<u>Issue #19: Grow your own. Using locally generated digital certificates</u> <a href="http://publibz.boulder.ibm.com/epubs/pdf/e0z2n190.pdf">http://publibz.boulder.ibm.com/epubs/pdf/e0z2n190.pdf</a>

<u>Issue #14: Security alert: Do you want to proceed?</u>
<a href="http://publibz.boulder.ibm.com/epubs/pdf/e0z2n161.pdf">http://publibz.boulder.ibm.com/epubs/pdf/e0z2n161.pdf</a>





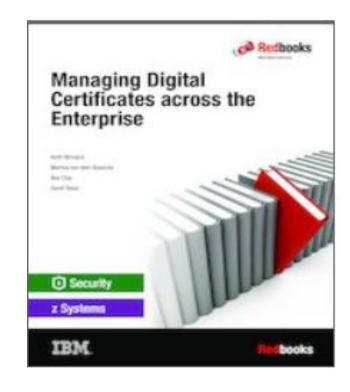
#### **IBM PKI Redbooks**

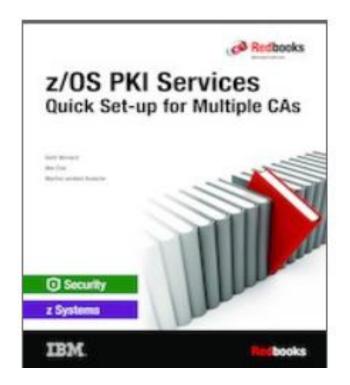
**Managing Digital Certificates across the Enterprise** 

https://www.redbooks.ibm.com/abstracts/sg248336.html?Open

z/OS PKI Services: Quick Set-up for Multiple CAs

https://www.redbooks.ibm.com/abstracts/sg248337.html?Open











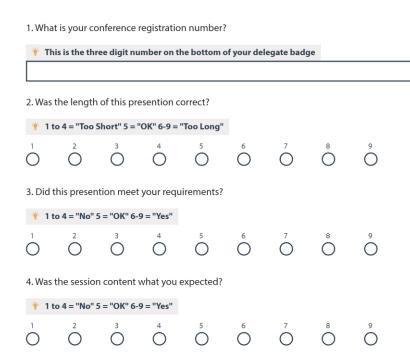


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